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				Application Number	Unassigned		
INFORMATION DISCLOSURE			CLOSURE	Filing Date	HEREWITH		
STA	STATEMENT BY APPLICANT			First Named Inventor	Martin, James D. L., et al.		
				Group Art Unit	Unassigned		
(use as many sheets as necessary)			s necessary)	Examiner Name	Unassigned		
Sheet	1	of	\$	Attorney Docket Number	006969-028210		

				U.S. PATENT DOCUM	MENTS	
Examiner Initials *	Cite No.1	U.S. Patent Doc Number Kind (if kno	Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
ERC	1	5,848,401	Α	Goldberg et al.	12/08/1988	
ERC	2	5,884,277	Α	Khosla	03/16/1999	
ERC	3	6,005,945	A	Whitehouse	12/21/1999	
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				FOREIGI	N PATENT DOCU	MENTS		
Examiner Initials*	Cita	For	eign Patent Doc	ument	Name of Patentee	Date of Publication of	Pages, Columns, Lines,	
	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (<i>if known</i>)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ⁶
ERC	4	PCT	WO00/73954	A2	Kara Technology, Inc.	12/07/2000		✓
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Examiner Signature	Cosimana	E.	Date Considered	7/21/04
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¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents, 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

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	Complete if Known	
Application Number	09/902,480	
Filing Date	July 9, 2001	
First Named Inventor	Martin, James D.L., et. al.	
Art Unit	2161	
Examiner Name	Unassigned	
Attorney Docket Number	006969-028210US	

			U.S. PATENT D	OCUMENTS	
		Document Number			
xaminer	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
PRC	AA	5,963,928	10-05-1999	Lee	
	AB	5,920,850	07-06-1999	Hunter et al.	
	AC	5,822,738	10-13-1998	Shah et al.	
	AD	5,793,867	08-11-1998	Cordery et al.	
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	AV	4,813,912	03-21-1989	Chickneas et al.	0 (
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Examiner Initials*	Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	No.1	Country Code ³ Number ⁴ Kind Code ⁴ (If known)	Publication Date MM-DD-YYYY	Applicant of Cited Document		τ°
Examiner Signature	(Cosimano, E.	Date Considere	ed 7/21/	04	

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PTO/SB/08A (10-01)_

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for	form 1449A/P	то			Complete if Known
		Application Number	09/902,480		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			OSURE.	Filing Date	July 9, 2001
			LICANT	First Named Inventor	Martin, James D.L., et. al.
				Art Unit	2161
(use as many sheets as necessary)			essary)	Examiner Name	Unassigned
Sheet	2	of	3	Attorney Docket Number	006969-028210US

				FOREIGN PA	TENT DOCUME	ENTS		
		For	eign Patent Docu	ıment		Name of Patentee or	Pages, Columns, Lines,	
Initials* Cite		Country Code ³	Number ⁴	Kind Code ⁶ (# known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Τ ⁶
ERC	BD	EP	845 762	A2	06-03-1998			
1	BE	EP	825 565	A2	02-25-1998	· · ·		
	BF	wo	00/49580	Al	08-24-2000			
1	BG	wo	98/20461	A2	05-14-1998			
1	ВН	wo	98/13790	A1	04-02-1998			
ERC	BI	GB	1 536 403		12-20-1978	100		
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Examiner Signature	Cosimano	E.	Date Considered	7/21/04

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	Complete if Known	
Applicati n Number	09/902,480	
Filing Dat	July 9, 2001	
First Named Inventor	Martin, James D.L., et. al.	
Art Unit	2161	
Examiner Name	Unassigned	,
Attorney Docket Number	006969-028210US	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
ERC	ВЈ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.	
	вк	"Information Based Indicia Program (IBIP) Indicium Specification," United States Postal Service, dated June 13, 1996.	
	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996	
	ВМ	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996	
	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998	
	во	United States Postal Service, "Performance Criteria For Information-Based Indicia And Security Architecture For Open IBI Postage Evidencing Systems," Information Based Indicia Program (IBIP), June 25, 1999 XP-002161216	
	ВР	BARKER-BENFIELD, "First Union Offers Online Transactions," Florida Times-Union, 1/28/94.	
ERC	BQ	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology; pp:1-51.	
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PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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July 9, 2001

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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NT B	Y AI	PPLICANT	First Named Inventor	Martin, James D.L., et. al.		
			Art Unit	2161		
nany sh	eets as	necessary)	Examiner Name	Unassigned		
1	Of	1	Attorney Docket Number	006969-028210US		

Filing Date

			U.S. PATENT D	OCUMENTS	
	:	Document Number			
Examiner	Cite No. ¹	Number Kind Code ² (if known)	Issue Date MM-OD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
ORC	1	5,369,258	11/29/94	Sansone et al.	
1	2	5,457,636	10/10/95	Sansone et al.	
	3	5,737,729	04/07/98	Denman	
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1	10	6,296,404 B1	10/02/01	Pierce et al.	
CAC	11	6,505,179 B1	01/07/03	Kara	

				FOREIGN PA	TENT DOCUME	ENTS		
Examiner Cite Foreign Patent Do	Cito	Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines, Where Relevant		
	Number ⁴	Kind Code ^s (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵		
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Examiner Signature	Cosimana, E.	Date Considered	7/21/04

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet	1	of	2

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	Complete If Known				
Application Number	09/902,480				
Filing Date	July 9, 2001				
First Named Inventor	Martin, James D.L.				
Art Unit	2161				
Examiner Name	Unassigned				
Attorney Docket Number	006969-028210US				

U.S. PATENT DOCUMENTS+						
Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
AA	US-6,050,486	04-18-2000	French et al.	riguies Appeal		
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				GROUP 3600		
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	AA	Cite No.¹ Number Kind Code² (if known) AA US-6,050,486	Cite No.¹ Number Kind Code² (if known) Publication Date MM-DD-YYYY AA US-6,050,486 04-18-2000	Cite No.¹ Number Kind Code² (if known) AA US-6,050,486 04-18-2000 French et al.		

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Examiner Initials*	Cite No.1	Fare	eign Patent Doo Number ⁴	cument Kind Code [®] (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Examiner	6	_	Date	7/21/24	
Signature	Cosimano,	E	Considered	1121109	

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Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known **Application Number** 09/902,480 Filing Date July 9, 2001 **First Named Inventor** Martin, James D.L. Art Unit 2161 **Examiner Name** Unassigned Attorney Docket Number 006969-028210US

	NON PATENT LITERATURE DOCUMENTS							
Examiner . Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
ERC	АВ	LaserCard®: The Secure ID Document, brochure from LaserCard Systems Corporation, pages 1-4.						
ERC ERC	AC	PRICE-FRANCIS, S., "Focus on Transactions, ID Cards: a new generation," Global ID Magazine, February 2002.						
ERC	AD	Smart Card & Security Basics, brochure by CardLogix, pages 1-36.						
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Examiner		Date	
Signature	Cosimana E	Considered	7/21/04
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